

**3656**

**4**

[This question paper contains 4 printed pages.]

8. Write short notes on “**any 3**” of the following :

**Your Roll No.....**

(5×3=15)

(i) Doctrine of Constructive Notice

(ii) Resident Director

(iii) Abuse of Dominant Position

(iv) Quorum

**Sr. No. of Question Paper : 3656**

**L**

Unique Paper Code : 6202462403

Name of the Paper : Corporate & Securities Law

Name of the Course : **Banking, Financial Services  
and Insurance**

Semester : Fourth

Duration : 3 Hours

Maximum Marks : 90

**Instructions for Candidates**

1. Write your Roll No. on the top immediately on receipt of this question paper.
2. The paper has total **8** questions. Attempt any **six** questions.
3. Each question is of **15** marks.

**3656**

**2**

1. (a) Explain the difference between public company and private company as per Companies Act 2013? (7)  
(b) What are the judicial provisions of lifting of corporate veil as per Companies Act 2013. (8)
2. (a) Explain the process of dematerialisation of shares through a depository. (7)  
(b) Write a short note on National Company Law Tribunal. Also highlight various powers of the tribunal. (8)
3. (a) Explain the provisions of “Extra Ordinary General Meeting” as per Companies Act 2013. (7)  
(b) Who can be an independent director? Explain the provisions of Companies Act relating to the same. (8)
4. (a) Who is a consumer? Under what circumstances a consumer can file a complaint? (7)  
(b) What are the responsibilities of a consumer as per Consumer Protection Act 2019? (8)

**3656**

**3**

5. (a) Explain the concept of “Separate Legal Entity” with respect to a company. Give example of a suitable case law to support your answer. (7)  
(b) Explain the various clauses of Memorandum of Association. (8)
6. (a) Discuss the importance of “Articles of Association” for a company. How can a company alter its articles? (7)  
(b) What are the pre-requisites of a valid meeting? (8)
7. (a) When can a consumer file a complaint to “National Commission”? Also explain its composition, jurisdiction and power. (7)  
(b) Write a detailed note on various types of prospectuses that a company can issue. Under what circumstances these prospectuses are used? (8)

P.T.O.